



Docket No.: 36287-04300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: BATESON et al.
Serial No.: 10/656,408
Filing Date: September 5, 2003
Title: METHOD AND SYSTEM FOR PROVIDING STABLE VALUE
Paper Filed: Information Disclosure Statement

CERTIFICATE OF MAILING

I hereby certify that on October 20, 2003, this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail in an envelope addressed to:

Mail Stop (Information Disclosure Statement)
Commissioner for Patents
P.O. Box 1450
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Teresita Santos

PATENT
Docket No.: 36287-04300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : BATESON et al.

Serial No. : 10/656,408

Filed : September 5, 2003

For : METHOD AND SYSTEM FOR PROVIDING
STABLE VALUE

INFORMATION DISCLOSURE STATEMENT

Mail Stop Information Disclosure Statement
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior ☐ Continuation, ☐ Divisional or ☐ Continuation-In-Part application under 37 C.F.R. §1.60, U.S. Serial No. _____, filed _____.
4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

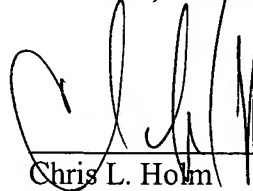
- ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.
- ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$ _____ is enclosed in payment of the fee.
- ☐ Charge the fee to Deposit Account No. 13-4500. Order No. _____. A
DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. the attached petition requesting consideration of this Information Disclosure Statement; and
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;
 - b. ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation

application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.

- c. ☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.
9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- ☐ Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 13-3250. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-3250. Order No. 36287-04300. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MILBANK, TWEED, HADLEY & McCLOY LLP



Chris L. Holm
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October 20, 2003

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.: 36287-04300	SERIAL NO.: 10/656,408
	APPLICANT: BATESON et al.	
	FILING DATE: September 5, 2003	GROUP ART UNIT: TBA

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,856,788	8/15/89	Fischel, Mario			8/26/87
	4,953,085	8/28/90	Atkins, Charles A.			4/15/87
	5,206,803	4/27/93	Vitagliano, et al.			3/15/91
	5,237,500	8/17/93	Perg, et al.			2/27/90
	5,644,726	7/1/97	Oppenheimer, Robert H.			3/19/90
	5,644,727	7/1/97	Atkins, Charles A.			12/6/94
	5,689,650	11/18/97	McClelland, et al.			2/23/95
	5,729,700	3/17/98	Melnikoff, Meyer			2/24/95
	5,784,696	7/21/98	Melnikoff, Meyer			6/6/95
	5,819,238	10/6/98	Fernholz, Erhard R.			12/13/96
	5,829,747	11/3/98	Nebel, Stephen E.			9/2/97
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	5,873,782	2/23/99	Hall, Grantly Thomas Aubrey			2/24/95
	5,875,437	2/23/99	Atkins, Charles A.			4/15/97
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		5,983,206	11/9/99	Oppenheimer, Robert H.			6/30/97
		6,112,188	8/29/2000	Hartnett, William J.			11/1/93
		US 6,321,212 B1	11/20/01	Lange, Jeffrey			11/24/99
		US 6,330,541 B1	12/11/01	Meyer, Bennett S., et al.			1/7/98
		US 6,360,210 B1	3/19/02	Wallman, Steven M.H.			2/12/99
		US 6,411,939 B1	6/25/02	Parsons, David W.			5/17/99
		US 6,446,047 B1	9/3/02	Brier, Daniel L., et al			5/4/99

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Papers, Etc.)*

EXAMINER	DATE CONSIDERED
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